

Our Ref: TS/BK/RSA/E/20086
Your Ref:



Mr Damien Leddy
University of Dundee Safety Services
3 Cross Row
DUNDEE
DD1 4HN

If telephoning ask for:
Tracey Samson

5 February 2013

Dear Sir

**COMPLIANCE ASSESSMENT SCHEME
FINAL ASSESSMENT: EXCELLENT**

**SITE: SAFETY OFFICE, UNIVERSITY OF DUNDEE, 3 CROSS ROW, DUNDEE DD1 4HN
LICENCE NUMBER: RSA/A/1027093 AUTHORISATION AND RSA/R/1027103 REGISTRATION**

Please find attached SEPA's final assessment of your environmental performance for 2012. Your final assessment was determined using SEPA's Compliance Assessment Scheme which can be viewed on SEPA's website at:

http://www.sepa.org.uk/about_us/what_we_do/compliance_assessment.aspx.

You have until 20 February 2013 to raise any factual errors with regard to your final assessment in writing to myself at SEPA, Clear Water House, Heriot-Watt Research Park, Avenue North, Riccarton, Edinburgh EH14 4AP or via email at tracey.samson@sepa.org.uk.

In the event of any factual or procedural issues which cannot be resolved with your site officer or their line manager, you will receive a letter from the relevant manager confirming this and advising you that you may raise a formal complaint via the SEPA Customer Complaints System.

Any unresolved issues going through the SEPA Public Complaints procedure when the data is published, will be published as SEPA's Final Assessment with the comment "the operator has challenged the assessment and is awaiting the outcome of the investigation".

The overall band was determined based on your performance against your licence conditions which are made up of two types of condition:

- Environmental Limit Conditions – relate directly to the environment e.g. emissions limits, no significant odour
- Environmental Management Conditions – relate to management conditions that ensure environmental protection

Continued....



Chairman
David Sigsworth

Chief Executive
James Curran

Edinburgh Office
Clearwater House, Heriot Watt Research Park
Avenue North, Riccarton, Edinburgh EH14 4AP
tel 0131 449 7296 fax 0131 449 7277
www.sepa.org.uk

University of Dundee
Mr Damien Leddy
Dundee

4 February 2014

A description of each of the bands is given below:

Excellent	SEPA assesses the licence holder as fully compliant with the licence conditions
Good	SEPA assesses the licence holder as not quite fully compliant with licence conditions and highlights one or two areas for improvement to achieve Excellent
Broadly Compliant	SEPA assesses the licence holder as broadly compliant with licence conditions but highlights several areas for improvement
At Risk	No breach of ELCs identified however low management performance indicates risk of future breaches of ELC
Poor	SEPA assesses the licence holder as non-compliant or responsible for at least one Significant breach
Very Poor	Significant non-compliance. Urgent improvement required across all areas

Please note that any other comments regarding the Scheme should be directed to:
SEPA Compliance Assessment Scheme Manager, Erskine Court, Castle Business Park, Stirling,
FK9 4TR

Yours faithfully



Tracey Samson
Radiation Specialist II

Enc: Operator Annual Compliance Summary 2012

Operator Annual Compliance Summary 2012

Overall Compliance Band: Excellent

Overall ELC Band: NO BREACHES
Overall EMC Band: HIGH PERFORMANCE

SEPA Licence No: RSA/A/1027093

Site Name: University of Dundee
Site Address: 3 Cross Row, Nethergate, Dundee, Angus, DD1 4HR

End of Year Summary:

List of Environmental Limit Conditions (ELC) with Compliance Breaches recorded in 2012

Licence Condition	Condition Description	Minor	Repeated Minor	Gross	Significant
1.1.1	The Undertaking is teaching and research.	0	0	0	0
1.2.1	The Authorised Premises are as shown delineated in red on the Site Plan forming Appendix 1 of this Authorisation and at the date of this Authorisation having postal addresses: Building 1: MSI/WTB/SJB Complex DD1 5EH Building 2: Biological Sciences Institute Building DD1 4HN Building 3: Jute Shed DD1 4HN Building 4: Flening Gymnasium DD1 4HN Building 5: OMS/Carnelly Complex DD1 4HN Building 6: Frankland Building DD1 4HN Building 7: Dental Hospital DD1 4HN	0	0	0	0
3.1.1, 3.2.1, Table 3.1	Authorised accumulation and disposal routes	0	0	0	0
3.5.1, 3.5.2, 3.5.3	NAIR arrangements	0	0	0	0
3.6.1, 3.6.1.1, 3.6.1.2	Radiological incidents	0	0	0	0
4.1.1	Authorised decay-storage limits	0	0	0	0
6.1.1	Authorised liquid discharge systems (e.g. foul drains)	0	0	0	0
6.1.2 or 6.2.2	Authorised liquid waste not prohibited or prevent for disposal (e.g. non-radiological)	0	0	0	0
7.1.1, Table 7.1	Authorised gaseous disposal routes (e.g. specified stacks)	0	0	0	0
7.1.3, Table 7.2	Gaseous disposal limits	0	0	0	0
not specified	Limits on disposal to Authorised Person/Waste Permitted Person	0	0	0	0

Overall ELC Band: NO BREACHES

Operator Annual Compliance Summary 2012

Overall Compliance Band: Excellent

Overall ELC Band: NO BREACHES
Overall EMC Band: HIGH PERFORMANCE

SEPA Licence No: RSA/A/1027093

Site Name: University of Dundee
Site Address: 3 Cross Row, Nethergate, Dundee, Angus, DD1 4HR

List of Environmental Management Condition (EMC) Attributes recorded as Non-Compliant during 2012

EMC Attribute	Minor	Major	Year End Status
Best practicable means	0	0	Compliant
Condition and operation of plant, equipment and infrastructure	0	0	Compliant
Emergency response procedures	0	0	Compliant
Implementation of monitoring / testing / calibration programmes	0	0	Compliant
Information and records available as required by licence	0	0	Compliant
Maintenance of processes, plant and installation to relevant standards	0	0	Compliant
Managerial structure /commitment	0	0	Compliant
Monitoring and control systems / procedures	0	0	Compliant
Monitoring equipment	0	0	Compliant
Notification of incidents	0	0	Compliant
Record Keeping	0	0	Compliant
Reports to SEPA required under licence	0	0	Compliant
Staffing	0	0	Compliant

Overall EMC Band: HIGH PERFORMANCE

Operator Annual Compliance Summary 2012

Overall Compliance Band: Excellent

Overall ELC Band: NO BREACHES
Overall EMC Band: HIGH PERFORMANCE

SEPA Licence No: RSA/R/1027103

Site Name: University of Dundee, Dundee
Site Address: UNIVERSITY OF DUNDEE, DEPARTMENT OF CHEMISTRY, PERTH ROAD, DUNDEE, DD1 4HN

End of Year Summary:

List of Environmental Limit Conditions (ELC) with Compliance Breaches recorded in 2012

Licence Condition	Condition Description	Minor	Repeated Minor	Gross	Significant
1.1.1	The Undertaking is teaching and research	0	0	0	0
1.1.2	The Registered Premises are as shown delineated in red on the Site Plan forming Appendix 1 of this Registration and at the date of this Registration having postal addresses; Building 1: MSI/WTB/SJB Complex DD1 5EH Building 2: Biological Sciences Institute Building DD1 4HN Building 3: Jute Shed DD1 4HN Building 4: Fleming Gymnasium DD1 4HN Building 5: OMS/Carnelly Complex DD1 4HN Building 6: Frankland Building DD1 4HN Building 7: Dental Hospital DD1 4HN	0	0	0	0
2.1.1, Table of Registered Substances	Registered radionuclides	0	0	0	0
2.1.2, Table of Registered Substances	Maximum quantity of radioactivity of each radionuclide	0	0	0	0
2.1.3, Table of Registered Substances	Purpose for each registered substance	0	0	0	0

Overall ELC Band: NO BREACHES

Operator Annual Compliance Summary 2012

Overall Compliance Band: Excellent

Overall ELC Band: NO BREACHES
Overall EMC Band: HIGH PERFORMANCE

SEPA Licence No: RSA/R/1027103

Site Name: University of Dundee, Dundee
Site Address: UNIVERSITY OF DUNDEE, DEPARTMENT OF CHEMISTRY, PERTH ROAD, DUNDEE, DD1 4HN

List of Environmental Management Condition (EMC) Attributes recorded as Non-Compliant during 2012

EMC Attribute	Minor	Major	Year End Status
Best practicable means	0	0	Compliant
Condition and operation of plant, equipment and infrastructure	0	0	Compliant
Emergency response procedures	0	0	Compliant
Information and records available as required by licence	0	0	Compliant
Maintenance of processes, plant and installation to relevant standards	0	0	Compliant
Monitoring and control systems / procedures	0	0	Compliant
Notification of incidents	0	0	Compliant
Record Keeping	0	0	Compliant
Registration implementation plan	0	0	Not Assessed
Reports, to SEPA required under licence	0	0	Compliant

Overall EMC Band: HIGH PERFORMANCE